

Europäisches **Patentamt** 

European **Patent Office** 

Office européen des brevets

> REC'D 18 DEC 2003 PCT WIPO

Bescheinigung

Certificate

**Attestation** 

Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein.

The attached documents are exact copies of the European patent application conformes à la version described on the following page, as originally filed.

Les documents fixés à cette attestation sont initialement déposée de la demande de brevet européen spécifiée à la page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

02258613.5

PRIORITY DOCUMENT

SUBMITTED OR TRANSMITTED IN **COMPLIANCE WITH** RULE 17.1(a) OR (b)

> Der Präsident des Europäischen Patentamts; im Auftrag

For the President of the European Patent Office Le Président de l'Office européen des brevets

R C van Dijk

p.o.

BEST AVAILABLE COPY

13.12.02

Anmeldung Nr:

Application no.: 02258613.5

Demande no:

Anmeldetag: Date of filing:

Date de dépôt:

Anmelder/Applicant(s)/Demandeur(s):

Koninklijke Philips Electronics N.V. Groenewoudseweg 1 5621 BA Eindhoven PAYS-BAS Philips Intellectual Property & Standards Weisshausstrasse 2 52066 Aachen **ALLEMAGNE** 

Bezeichnung der Erfindung/Title of the invention/Titre de l'invention: (Falls die Bezeichnung der Erfindung nicht angegeben ist, siehe Beschreibung. If no title is shown please refer to the description. Si aucun titre n'est indiqué se referer à la description.)

Electro-acoustic resonator

In Anspruch genommene Prioriät(en) / Priority(ies) claimed /Priorité(s) revendiquée(s) Staat/Tag/Aktenzeichen/State/Date/File no./Pays/Date/Numéro de dépôt:

Internationale Patentklassifikation/International Patent Classification/ Classification internationale des brevets:

HO3H/

Am Anneldetag benannte Vertragstaaten/Contracting states designated at date of filing/Etats contractants désignées lors du dépôt:

AT BE BG CH CY CZ DE DK EE ES FI FR GB GR IE IT LI LU MC NL PT SE SI SK

5.5/22

5

#### DESCRIPTION

#### **ELECTRO-ACOUSTIC RESONATOR**

The invention relates to an electro-acoustic wave resonator. Resonators are the basic building blocks of filters.

Thin-film bulk acoustic wave (BAW) resonator filters are of growing interest particularly for radio frequency (RF) front-end selectivity in wireless applications, e.g. 2G and 3G handsets. This technology offers the best possibility for integration and miniaturisation. One of the important parameters of an electro-acoustic resonator is its coupling factor  $k_r$  defined by  $k_r^2 = [1-(f_r/f_0)^2]$ . In this equation  $f_r$  and  $f_q$  are the resonator's resonance and antiresonance, i.e. the frequencies of minimum and maximum impedance. Maximum achievable filter bandwidth is proportional to  $k_r^2$ .

A BAW resonator consists essentially of a layer sequence comprising two electrode layers adjacent to a piezoelectric layer. For RF applications the piezoelectric layer typically consists of a deposited layer of a material such as aluminium nitride (AlN). For reducing deposition time, resonators with a feasibly thin piezoelectric layer are desired. The disadvantage of fininfilm piezoelectric materials is their rather low coupling coefficient k<sub>t</sub>. As resonator coupling factor k<sub>t</sub> is proportional to the coupling coefficient k<sub>t</sub> the design of the electro-acoustic resonator has to be optimised to compensate the rather low coupling coefficient k<sub>t</sub> of thin-film piezoelectric materials. For example, a high coupling factor k<sub>t</sub> is required for the 3G UMTS receive (RX) and transmit (TX) bands.

WO 02/23720 A1 discloses a resonator that comprises a first electrode, a second electrode and a plezoelectric layer arranged between the above. A first acoustic compression layer is arranged between the piezoelectric layer and the first electrode with a higher acoustic impedance than the first electrode. For a warranty of a sufficiently low resistance of the

20

PHGB020223 EP-P

-2-

electrodes that document reveals that the electrodes are at least 200 nm thick. There, the electrode preferably consists of Aluminium (Al) with a thickness of 300-600 nm. Such an electrode results in a low electrical resistance and effects only a small deterioration of the coupling coefficient achieved by the arrangement of an acoustic compression layer.

US patent 6,051,907 discloses a method for tuning a thin-film bulk acoustic wave resonator (FBAR) located on a wafer. The method is used for fine tuning if the centre frequency is different from a target value and is done by etching the top electrode. According to US 6,051,907 the structure of thin-film bulk acoustic wave resonators formed on wafers is altered before the wafer is diced. That method effects that the FBAR exhibits a series or parallel resonant frequency that is within an acceptable error margin of a design series or parallel resonant frequency, respectively. In one example of US 6,051,907 the top and the bottom electrode both comprise molybdenum (Mo) having a thickness of 300 nm. As the method for fine tuning in that document is based on thinning of the top electrodes of the FBARs, which results in increasing series resistance of the FBARs, there it is proposed to design top electrodes (of Mo) with a thickness of 400 nm and with a correspondingly thinner layer of piezoelectric material, in this case zinc-oxide (ZnO).

The objective of the invention is to provide an electro-acoustic resonator which will give increased filter bandwidth.

This objective is achieved by an electro-acoustic resonator with a layer structure comprising a piezoelectric layer and a top and a bottom electrode layer, with the thickness of the two electrode layers being unequal and with the top electrode layer being thinner than the bottom electrode layer. In this configuration maximum resonator coupling factor is achieved. The enhancement occurs due to an improved match, inside the piezoelectric layer, between the spatial distributions of the applied electric field (which is approximately constant in the direction normal to the layer) and the electric field directly coupled to the acoustic wave (which is approximately cosinusoidal about a plane midway between thin electrodes, but closer to constant for optimal electrode thickness).

- 3 -

The electro-acoustic resonator may be a solidly-mounted thin-film resonator (SBAR) or may have a membrane structure, also referred to as a film bulk acoustic wave resonator (FBAR). The layer sequence of an FBAR is typically etch-stop layer / bottom electrode layer / piezoelectric layer / top electrode layer. The energy is confined by having a perfect reflector at the free-space interface above and below the resonator. The layer sequence of an SBAR is typically substrate / Bragg reflector / bottom electrode layer / piezoelectric layer / top electrode layer. The Bragg reflector comprises alternate high and low mechanical impedance layers and provides the required reflection below the resonator. A mass-loading layer above the top electrode is included in a subset of the resonators in a typical filter design. The mass-loaded resonators have slightly lower f, and f, than the non-mass-loaded resonators, In all cases the resonator frequency is approximately inversely proportional to the thickness of the plezoelectric layer. For typical RF applications all layer thicknesses are of the order of 100 nm to 2000 nm. The substrate thickness is typically of the order of 0.1 mm to 2 mm.

At least one of the electrode layers of the electro-acoustic resonator can be formed by a stack of two (or more) conductive materials. Such a configuration is referred to here as a "sandwich" structure. The conductive materials have to be carefully chosen as they influence the electrical loss and the bandwidth.

In one embodiment of the electro-acoustic resonator a conductive thin diffusion barrier is formed between the electrode layers.

In a further embodiment the conductive material in the stack that is in contact with the piezoelectric layer has a higher acoustic impedance than the conductive material that is not in contact with the piezoelectric layer.

In another embodiment the conductive material in the stack that is in contact with the piezoelectric layer has a lower acoustic impedance than the outer conductive material that is

-4-

not in contact with the piezoelectric layer. Preferably, the outer conductive material is a noble metal such as gold (Au) or platinum (Pt) which protects the resonator's surface.

The conductive material with the lower acoustic impedance preferably comprises aluminium (Al).

The conductive material with the higher acoustic impedance comprises for example platinum (Pt), wolfram (W), molybdernum (Mo), titan-wolfram ( $T_kW_{1-k}$ , 0<x<1) or gold (Au).

The diffusion barrier between the electrode layers and for between the electrodes may consist of titanium nitride (TiN) or titanium (Ti) or may consist of combinations of titanium nitride (TiN) and titanium (Ti).

For example, the inventive electro-acoustic resonator can be used in a filter with a centre frequency of 1.95 GHz. This is the centre frequency corresponding to the transmission (TX) band of the UMTS 3G standard. For this application the bandwidth required is very close to the maximum achievable using aluminium nitride for the piezoelectric layer.

One preferred embodiment, for use in filters with centre frequency in the region of 2 GHz, is an electro-acoustic resonator whose electrode layers comprise molybdenum with, for a resonant frequency in the region of 2 GHz, the top layer's thickness being in the region of 200 nm and the bottom layer's thickness being the region of 300 nm, these thicknesses scaling approximately inversely with resonant frequency.

Another preferred embodiment is an electro-acoustic resonator whose electrode layers comprise platinum with, for a resonant frequency in the region of 2 GHz, the top layer's thickness being in the region of 50 nm and the bottom layer's thickness being in the region of 150 nm, these thicknesses scaling approximately inversely with resonant frequency.

5

PHGB020223 EP-P

- 5 -

The inventive electro-acoustic resonator may be used as a component of a radio frequency (RF) filter, or as a component used in a sensor, or used in an ultrasonic transducer, or used in an array of ultrasonic transducers.

These and other aspects of the invention will become apparent from and will be elucidated with reference to the embodiments described hereinafter, where

Figure 1 illustrates a layer	sequence in an FBAR.
------------------------------	----------------------

0 Figure 2 illustrates a layer sequence in an SBAR,

Figure 3 illustrates a layer sequence in a sandwich SBAR,

Figure 4 illustrates a table with the coupling factor versus the thicknesses of top and bottom electrode for a non-mass-loaded SBAR,

Figure 5 illustrates a table with the coupling factor versus the thicknesses of top and bottom electrode for a mass-loaded SBAR,

Figure 6 illustrates a table with the coupling factor versus the thicknesses of top and bottom electrode for a non-mass-loaded sandwich SBAR.

Figure 7 illustrates a table with the coupling factor versus the thicknesses of top and bottom electrode for a mass-loaded sandwich SBAR.

Figure 1 illustrates a layer sequence in an FBAR 1 consisting of, from bottom to top, a substrate 2, an etch-stop layer 3, a bottom electrode layer 4, a plezoelectric layer 5, a top electrode layer 6 and a mass-loading layer 7. The FBAR 1 shows the electrode layers 4, 6 with unequal thicknesses with the top electrode 6 having a thickness of T1 and the bottom

-6-

electrode 4 having a thickness of T2. According to the invention T1 is smaller than T2. This asymmetrical arrangement enables the resonator to achieve maximum coupling-factor, thus giving maximum filter bandwidth.

Figure 2 illustrates a layer sequence in an SBAR 8 consisting of, from bottom to top, a substrate 9, an acoustic mirror 10 like a Bragg reflector comprising alternate high 11 and low 12 mechanical impedance layers, a bottom electrode layer 13, a piezoelectric layer 14, a top electrode layer 15 and a mass-loading layer 16. The SBAR 8 shows electrode layers 13, 15 with unequal thicknesses with the top electrode 15 having a thickness of T3 and the bottom electrode 13 having a thickness of T4. According to the invention thickness T3 is smaller than thickness T4.

Figure 3 illustrates a layer sequence in a sandwich SBAR 17 consisting of, from bottom to top, a substrate 18, an acoustic mirror 19 like a Bragg reflector comprising alternate high 20 and low 21 mechanical impedance layers, a bottom outer electrode layer 22, a bottom inner electrode layer 23, a piezoelectric layer 24, a top inner electrode layer 25, a top outer electrode layer 26 and a mass-loading layer 27. The sandwich SBAR 17 shows outer electrode layers 22 and 26 of equal thickness, and inner electrode layers 23 and 25 of innequal thickness with the inner top electrode 25 having a thickness of T5 and the inner bottom electrode 23 having a thickness of T6. Between the electrodes 22 and 23 and / or between the electrodes 25 and 26 there may be a diffusion barrier consisting of a layer of e.g. TiN or a combination of layers of Ti and TiN. This diffusion barrier is to avoid inter-diffusion of the two electrode materials 22 and 23 or 25 and 26 respectively. The thickness of the thin diffusion barrier is between 10 and 30 mm and does not change the performance of the resonator substantially.

The mass-loading layer (7 in Figure 1, 16 in Figure 2, 27 in Figure 3) is included in a subset of the resonators in a typical filter. The remaining resonators are non-mass-loaded.

-7-

The invention is illustrated by the example of a 1.95 GHz filter for the TX band of the UMTS 3G standard. For this application the bandwidth required is very close to the maximum achievable using aluminium nitride (AIN). In principle, a different optimum combination of layers is required for the mass-loaded and non-mass-loaded resonators. The optimum combinations are applicable to both ladder and lattice implementations of the filter.

Figure 4 illustrates a table with the coupling factor k, versus the thickness T3 of the top electrode 15 and versus the thickness T4 of the bottom electrode 13 of the filter's non-mass-loaded SBARs 8 as shown in Figure 2. The electrode metal is molybdenum (Mo) which has about twice the acoustic impedance of aluminium nitride, and very high quality aluminium nitride layers can be grown on it. Tantalum pentoxide (Ta2OS) and silicon dioxide (SiO2) are employed as the high and the low impedance layers of the Bragg reflector. According to the table the optimum thicknesses of Molybdenum are seen to be in the region of T3 = 200 nm for the top electrode 15 and of T4 = 300 nm for the bottom electrode 13. For this combination the corresponding thickness of the aluminium nitride is 1410 nm, and the corresponding maximum value of k, is 0,226.

Figure 5 illustrates a table with the coupling factor k, versus the thickness T3 of the top electrode 15 and versus the thickness T4 of the bottom electrode 13 for the filter's mass-loaded resonators SBAR 8. The optimum thicknesses of the molybdenum also are seen to be in the region of T3 = 200 nm for the top electrode 15 and of T4 = 300 nm for the bottom electrode 13 resulting in a maximum value of k, of 0,222. The mass-loading layer thickness is 150 nm. Other layer thicknesses are the same as for the non-mass-loaded SBARs described in Figure 4,

The enhancement of the coupling factor k, using the described optimum unequal thicknesses T3 and T4 should be even higher when wolfram (W) is used instead of molybdenum as wolfram has a mechanical impedance some 70% higher than that of molybdenum.

-8-

Filter implementation for the same centre frequency using sandwich SBARs is now considered.

Figure 6 illustrates a table with the coupling factor k, versus the thickness T5 of the top electrode 25 and versus the thickness T6 of the bottom electrode 23 for the filter's non-mass-loaded candwich SBARs 17. The outer electrode layers 22, 26 are of aluminium and the inner electrode layers 23, 25 adjacent to the piezoelectric (AIN) layer 24 are of platinum (Pt). To achieve an adequately low electrical resistance it is proposed that the aluminium layers 22, 26 have the same thickness, here set to 200 nm. The top 25 and the bottom 23 electrode layers are the variables. Optimum coupling factor k, is obtained with values of about T5 = 50 nm for the top electrode 25 and of about T6 = 150 nm for the bottom electrode 23 respectively. Between the electrodes 22 and 23 and / or between the electrodes 25 and 26 there may be a diffusion barrier consisting of a layer of e.g. TiN or a combination of layers of Ti and TiN. This diffusion barrier is to avoid inter-diffusion of the two electrode materials 22 and 23 or 25 and 26 respectively. The thickness of the thin diffusion barrier is between 10 and 30 nm and does not change the performance of the resonator substantially.

Figure 7 illustrates a table with the coupling factor  $k_r$  versus the thickness T5 of the top electrode 25 and versus the thickness T6 of the hottom electrode 23 for the filter's mass-loaded SBARs 17. Optimum coupling factor  $k_r$  is again obtained with values of about T5 = 50 nm for the top electrode 25 and of about T6 = 150 nm for the bottom electrode 23 respectively. Other layer thicknesses are the same as for the non-mass-loaded sandwich SBARs described in Figure 6.

In both mass-loaded and non-mass-loaded sandwich SBARs optimum thicknesses of the inner Pt electrodes are almost independent of the thicknesses of the Al outer electrodes 22, 26. For optimum Pt layer thicknesses and Al layer thicknesses of 100 nm, 200 nm and 300 nm the coupling factor  $k_r = 0,220, 0,216$  and 0,202 respectively.

-9-

The invention may be summarised by a thin-film bulk acoustic wave resonator (1, 8, 17) of the membrane or FBAR type (1) or the solidly-mounted or SBAR type (8), either with single layer electrodes (1,8) or of the multiple-layer electrode sandwich construction (17), with an optimum coupling factor k, and thus increased bandwidth in filters incorporating such resonators. The optimum coupling factor k, is achieved by the arrangement that the top electrode (6, 15, 25) is thinner than the bottom electrode (4, 13, 23). The coupling factor is independent of the resonator's layout.

5.14/22

5

20

PHGB020223 RP-P

- 10 -

### **CLAIMS**

- 1. Electro-acoustic resonator (1, 8, 17) with a layer structure comprising a piezoelectric layer (5, 14, 24) and a top (6, 15, 25) and a bottom (4, 13, 23) electrode layer, with the thickness (T1, T2, ...T6) of the two electrode layers being unequal, characterised in that the top electrode layer (T1, T3, T5) is thinner than the bottom (T2, T4, T6) electrode layer.
- 2. Electro-acoustic resonator (1, 8, 17) as claimed in claim 1, characterised in that the electro-acoustic resonator (1, 8, 17) is a solidly-mounted resonator or SBAR (8,17) or that it has a membrane structure FBAR (1).
- 3. Electro-acoustic resonator (1, 8, 17) as claimed in one of the foregoing claims, characterised in that at least one of the electrode layers (25, 26 or 22, 23) is formed by a stack of conductive materials.
- Electro-acoustic resonator (1, 8, 17) as claimed in one of the foregoing claims, characterised in that between the electrode layers 22 and 23 and /or 25 and 26 a conductive thin diffusion barrier is formed.
- 5. Electro-acoustic resonator (1, 8, 17) as claimed in claim 3, characterised in that in the stack the conductive material (23, 25) that is in contact with the piezoelectric layer (24) has a higher acoustic impedance than the conductive material (22, 26) that is not in contact with the piezoelectric layer (24).

5

PHGB020223 EP-P

- II -

- 6. Electro-acoustic resonator (1, 8, 17) as claimed in claim 3, characterised in that in the stack the conductive material (23, 25) in contact with the piezoelectric layer (24) has a lower acoustic impedance than the conductive material (22, 26) that is not in contact with the piezoelectric layer (24).
- Electro-acoustic (1, 8, 17) resonator as claimed in claim 5 or 6, characterised in that the conductive material with the lower acoustic impedance comprises Aluminium (Al).
- Electro-acoustic resonator (1, 8, 17) as claimed in claim 5 or 6, characterised in that the conductive material with the higher acoustic impedance comprises platinum (Pt), wolfram (W), molybdenum (Mo), titan-wolfram (Ti<sub>k</sub>W<sub>1,\*</sub>, 0<x<1), Gold (Au).</li>
  - 9. Electro-acoustic resonator (1, 8, 17) as claimed in claim 5 or 6, characterised in that the diffusion barrier between the electrode layers 22 and 23 and /or between the electrodes 25 and 26 consists of titanium nitride (TiN), or titanium (Ti), or consists of comminations of titanium nitride (TiN) and titanium (Ti).
  - 10. Electro-acoustic resonator (1, 8, 17) as claimed in one of the foregoing claims, characterised in that the electrode layers (4, 6, 13, 15, 23, 25) comprise Molybdenum (Mo) and that, for a resonant frequency in the region of 2 GHz, the thickness (T1, T3, T5) of the top Molybdenum layer (6, 15,25) is in the region of 200 nm and the thickness (T2, T4, T6) of the bottom Molybdenum layer (4, 13, 23) is in the region of 300 nm, these thicknesses scaling approximately inversely with resonant frequency.

- 12 -

- 11. Electro-acoustic resonator (1, 8, 17) as claimed in one of the foregoing claims, characterised in that the electrode layers (4, 6, 13, 15, 23, 25) comprise platinum (Pt) and that, for a resonant frequency in the region of 2 GHz, the thickness (T1, T3, T5) of the top platinum layer (6, 15,25) is in the region of 50 nm and the thickness (T2, T4, T6) of the bottom platinum layer (4, 13, 23) is in the region of 150 nm, these thicknesses scaling approximately inversely with resonant frequency.
- 12. Use of an electro-acoustic resonator (1, 8, 17), especially an electro-acoustic resonator as claimed in claim 1, as a component of a radio frequency (RF) filter, or as a component used in a sensor, or used in an ultrasonic transducer, or used in an array of ultrasonic transducers.

#### <u>ABSTRACT</u>

#### **ELECTRO-ACOUSTIC RESONATOR**

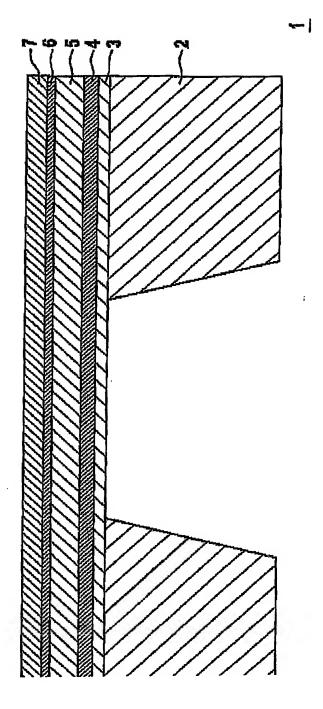
An electro-acoustic resonator (1, 8, 17) of the membrane or FBAR type (1) or the solidly-mounted or SBAR type (8), with electrodes comprising a single conducting layer or multiple conducting layers, i.e. sandwich construction (17) with an optimum coupling factor  $k_r$  and thus an improved filter bandwidth. The optimum coupling factor  $k_r$  is achieved by the arrangement that the top electrode (6, 15, 25) is thinner than the bottom electrode (4, 13, 23). The coupling factor is independent of the resonator's layout defined by the mask.

5.18/22

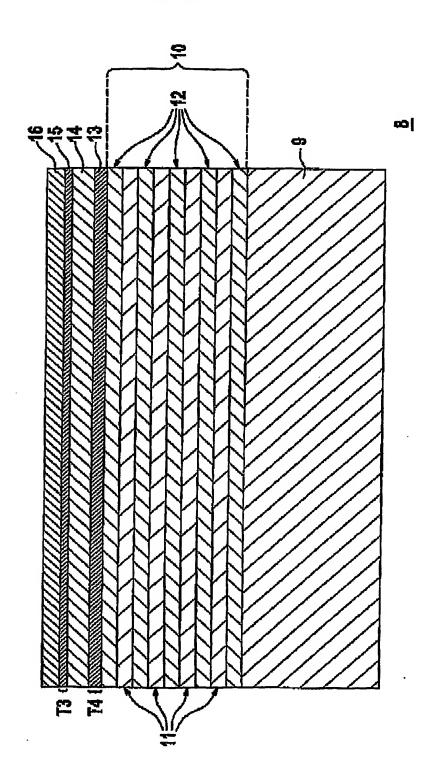
IP&S-DE AACHEN

PHGB020223 EP-P

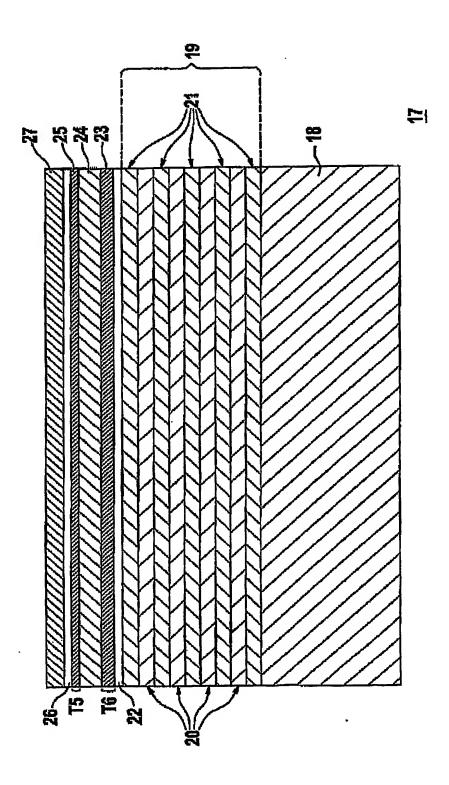




2/5



3/5



4/5

300 nm 0,220 0,220 0,220 0,214 0,214 0,213 0,208 250 mm 0,212 0,219 0,219 0,218 0,218 0,218 0,218 0,224 0,226 0,226 0,227 0,217 150 nm 0,224 0,224 0,224 0,224 100 rm 0,219 0,224 0,226 0,226 0,218 0,246 0,220 0,216 0,216 0,214 50 nm 100 nm 150 nm 200 nm 250 nm 300 nm 350 nm 400 nm

	1		<del></del>	т	_	_	_
300 nm	0,209	0,213	0,214	0,217	0,247	0,217	0,216
250 nm	0,212	0,216	0,218	0,219	0,219	0,219	0,218
200 nm	0,214	0,218	0,221	0,222	0,222	0,222	0,221
150 nm	0,216	0,219	0,222	0,223	0,223	0,223	0,222
100 nm	0,216	0,219	0,222	0,223	0,223	0,223	
50 nm	0,214	0,218	0,219	0,221	122,0	0,219	
74	100 mm	150 nm	200 nm	250 nm	300 nm	350 nm	400 nm

Fig. 4

5.5/5

1

**8:5**2

5/5

250 nm 0,181 0,192 0,193 0,183 200 nm 0,194 0,203 0,196 0,185 150 nm 0,197 100 nm 0,198 0,211 0,211 0,216 0,208 0,212 0,212 0,216 0,216 0,214 0,203 250 rm 250 rm 250 rm 250 rm 250 rm 10

	<del></del>	r-	Γ-	Т		
250 nm	0,177	0,186	0,190	0,180	0,183	0,175
200 nm	0,184	9,194	0,198	0,198	0,194	0,187
150 nm	0,191	0,201	0,207	0,216	0,204	0,198
100 nm	0,198	0,208	0,213	0,214	0,212	0,206
50 nm	0,204	0,212	0,216	0,218	0,216	0,212
0 1131	0,204	0,212	0,214	0,218	0,213	0,208
6 T5	0 nm	30 nm	100 nm	150 nm	200 nm	250 nm



# This Page is inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

BLACK BORDERS
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
☐ FADED TEXT OR DRAWING
BLURED OR ILLEGIBLE TEXT OR DRAWING
SKEWED/SLANTED IMAGES
☐ COLORED OR BLACK AND WHITE PHOTOGRAPHS
☐ GRAY SCALE DOCUMENTS
☐ LINES OR MARKS ON ORIGINAL DOCUMENT
REPERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
□ OTHER:

IMAGES ARE BEST AVAILABLE COPY.
As rescanning documents will not correct images problems checked, please do not report the problems to the IFW Image Problem Mailbox